



To:  
United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Processing  
Center  
c/o Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor  
New York, NY 10017 (USA)

BY DHL

Lugano February 2, 2015

Notice of transfer, from UBS AG (the "Transferor") to BSI SA on behalf of its client (the "Transferee"), of securities issued by Lehman Brothers Treasury B.V. (the "Securities") and claim n. 59233 attached thereto (the "Claim")

Dear Sirs,

reference is made to the transfer of the above mentioned Securities and part of the Claim to our bank as nominee on behalf of its client/s (the "Transfer").

In order to duly notify you of the Transfer, please find here attached the following documents, so that you can kindly proceed and amend the Claim Register accordingly:

- 1) Form 210/A, signed by our bank as Transferee on behalf of its client;
- 2) "*Evidence of Transfer of Claim*", signed by UBS AG, Switzerland as Transferor, as proof that UBS AG has partially transferred a registered claim to BSI SA on behalf of its client/s.

Given the importance of this Notice in the interests of our client/s, We would also appreciate if you can advise us immediately if something (e.g. information, document, etc.) is missing by sending a message to the following e-mail address: [group.bsicustodyadministration@bsibank.com](mailto:group.bsicustodyadministration@bsibank.com)

Thank you very much in advance for your kind help and attention.

Yours faithfully,

BSI SA

pm C. Maestri

pp A. Ferrari

*Attachments: as above*

FILED / RECEIVED

FEB 04 2015

EPIQ BANKRUPTCY SOLUTIONS, LLC

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: (JMP)

Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> BSI SA	<u>Name of Transferor:</u> UBS AG
Notices to Transferee should be sent to: BSI SA Via Magatti n. 2 CH-6900 Lugano Attn: Andrea Ferrari EMAIL: Group.Bsicustodyadministration@bsibank.com	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above): CITIBANK NY / SWIFT CITIUS33 ACCOUNT 10938561 In the name of BSI SA	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u>  Units 225.00	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.



pm C. Maestri

BSI SA  
Via Magatti n. 2  
CH-6900 Lugano



pp A. Ferrari

Date: 02.02.2015

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571.* As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

#### EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Treasury BV	XS0261032238	LBT BV	LBH Inc.	Units 225.00 out of Units 58'342.00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**UBS AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:**

BSI SA  
Via Magatti n. 2  
CH-6900 Lugano  
Telephone: +41 (0) 58 808 33 73  
Attention: Andrea Ferrari

(the "Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim

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FEB 04 2015

EPIQ BANKRUPTCY SOLUTIONS, LLC

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated January 27, 2015.

UBS AG  
Transferor

By: 

Name: Matthias Mohos  
Title: Associate Director

By: 

Name: Stephan Gfeller  
Title: Associate Director

ACKNOWLEDGED BY:

BSI SA

By: 

Name: Andrea Ferrari

pp A. Ferrari

  
pm C. Maestri



www.dhl.com

**EXPRESS WORLDWIDE**  
**DOX**  
**DHL**

From BSI SA  
 +4191/803111  
 VIA FRANZINI 8  
 6900 LUZERN  
 CH SWITZERLAND

To: UNITED STATES BANKRUPTCY COURT

SOUTHERN DISTRICT OF NEW YORK  
 LEHMAN BROTHERS HOLDINGS  
 C/O EPIO BANKRUPTCY SOLUTIONS  
 757 THIRD AVENUE, 3RD FLOOR  
 10017 NEW YORK  
 US UNITED STATES OF AMERICA

**JFK US-ZYP-TS2**

Day Time

Ref code 2010009-93546-81749 Piece Weight: 0.5 kg

Account No 150044796 Pickup date: 2015-02-03

Content / Commerce Control Statement / RC

Incoterm : DAP Customs Value : 0.00 CHF

Imp/Exp Type : permanent IV

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FEB 04 2015

EPIO SYSTEMS



WABILL 30 0384 4944



/ZLUS10017+42000000



(J)D01 3060 9720 2017 0669

Recommended weight

1 = 0.5 kg
2 = 1 kg
3 = 2 kg
4 = 5 kg
5 = 10 kg
6 = 15 kg
7 = 20 kg
8 = 25 kg



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